# Respiratory and atopic conditions in children two to four years after the 2014 Hazelwood coalmine fire

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**The known:** Exposure to chronic air pollution during early life is associated with increased likelihood of later respiratory dysfunction. Information about longer term health outcomes following time-limited episodes of air pollution, however, is scarce.

**The new:** *In utero* exposure to a severe smoke event was associated with increased parental reports of respiratory infections and wheeze 2–4 years later. *In utero* exposure had a greater impact on long term respiratory health than early childhood exposure.

**The implications:** Episodic severe smoke events are common in Australia and elsewhere. Protecting pregnant women and young children should be central to public health responses to poor air quality.

In February 2014, the Hazelwood coalmine fire exposed a large number of people in the Latrobe Valley of Victoria to high levels of air pollution for six weeks. In the southern part of Morwell, less than 1 km from the fire, the 24-hour average concentration of fine particulate matter with an aerodynamic diameter of less than 2.5  $\mu m$  (PM $_{2.5}$ ) was higher than the national air quality standard (25  $\mu g/m^3$ ) on 23 days; it occasionally exceeded 800  $\mu g/m^3$ . The event caused considerable local concern about the short and long term consequences of such exposure.

Exposure to air pollution *in utero* and during early childhood is associated with respiratory-related infant death, childhood asthma and wheezing, <sup>3,4</sup> respiratory infections, <sup>5</sup> and poorer lung function in later childhood. <sup>6–8</sup> A role for early childhood exposure to air pollution in the development of atopic dermatitis has also been proposed. <sup>9–11</sup> However, distinguishing between the effects of pre- and postnatal exposure is difficult.

Compared with the body of evidence for the health effects of long term exposure to ambient air pollution, information about the impact of short duration pollution events, such as wildfires, is limited. Nevertheless, associations with all-cause mortality, exacerbation of asthma and chronic obstructive pulmonary disease, and medication use for obstructive lung disease have been reported. The evidence for effects on respiratory infections is less conclusive, but associations with bronchitis and pneumonia have been suggested. Even less is known about the effects of short duration events on children and their longer term health.

We analysed data collected by the Latrobe Early Life Follow-up (ELF) Study, a component of the Hazelwood Health Study, <sup>13</sup> to evaluate associations between the magnitude of coal fire smoke exposure during early life and parent-reported indicators of respiratory and atopic illness. Understanding the effects of the Hazelwood coalmine fire will further our general understanding of the longer term impact of severe episodic smoke events. The toxic components of outdoor air pollution from forest and peat fires are similar to those of coalmine fire smoke, and their effects on health may be similar. <sup>14</sup>

#### Abstract

**Objective:** To evaluate associations between exposure during early life to mine fire smoke and parent-reported indicators of respiratory and atopic illness 2–4 years later.

**Design, setting:** The Hazelwood coalmine fire exposed a regional Australian community to markedly increased air pollution during February – March 2014. During June 2016 – October 2018 we conducted a prospective cohort study of children from the Latrobe Valley.

**Participants:** Seventy-nine children exposed to smoke *in utero*, 81 exposed during early childhood (0–2 years of age), and 129 children conceived after the fire (ie, unexposed).

**Exposure:** Individualised mean daily and peak 24-hour fireattributable fine particulate matter (PM<sub>2.5</sub>) exposure during the fire period, based on modelled air quality and time-activity data.

**Main outcome measures:** Parent-reported symptoms, medications use, and contacts with medical professionals, collected in monthly online diaries for 29 months, 2–4 years after the fire.

**Results:** In the *in utero* exposure analysis (2678 monthly diaries for 160 children exposed *in utero* or unexposed), each 10  $\mu$ g/m³ increase in mean daily PM<sub>2.5</sub> exposure was associated with increased reports of runny nose/cough (relative risk [RR], 1.09; 95% CI, 1.02–1.17), wheeze (RR, 1.56; 95% CI, 1.18–2.07), seeking health professional advice (RR, 1.17; 95% CI 1.06–1.29), and doctor diagnoses of upper respiratory tract infections, cold or flu (RR, 1.35; 95% CI, 1.14–1.60). Associations with peak 24-hour PM<sub>2.5</sub> exposure were similar. In the early childhood exposure analysis (3290 diaries for 210 children exposed during early childhood, or unexposed), each 100  $\mu$ g/m³ increase in peak 24-hour PM<sub>2.5</sub> exposure was associated with increased use of asthma inhalers (RR, 1.26; 95% CI, 1.01–1.58).

**Conclusions:** Exposure to mine fire smoke *in utero* was associated with increased reports by parents of respiratory infections and wheeze in their children 2–4 years later.

#### Methods

#### Study design and population

The Latrobe ELF cohort was established during 2015 to prospectively follow the health of Latrobe Valley children exposed to smoke from the Hazelwood coalmine fire during their first 1000 days of life. Potential participants were identified by stratified random sampling of a nominal roll generated by Latrobe City Council, based on their Maternal Child Health Service records of age-eligible children. After reviewing comparable studies of environmental exposures and health outcomes and taking into consideration the expected loss to follow-up during our study, an overall sample size of 500 children was deemed appropriate for identifying important health effects. The parents of 571 of 3371 eligible children consented to participation (110% of target). We defined three groups of children:

## Research

- early childhood exposure: children less than two years old at the end of the fire period (date of birth: 1 March 2012 – 31 March 2014);
- in utero exposure: children born to mothers pregnant during the fire (date of birth: 1 April 2014 – 31 December 2014); and
- unexposed: children conceived after the fire (date of birth: 1 January 2015 31 December 2015).

#### Data collection: health outcomes

Between June 2016 and October 2018 (that is, 2–4 years after the fire), we sent participating parents monthly text messages with a link to an online survey (health outcomes diary) that collected information about symptoms during the preceding calendar month (runny nose, cough, wheeze, fever, or rash not in the nappy area), contacts with health care providers (by telephone with doctors or nurses; in person with pharmacists, child health nurses, general practitioners or local doctors, or hospital-based doctors), the use of oral antibiotics, asthma inhalers (relievers or preventers), and topical steroid-containing creams or ointments, and diagnoses by doctors of upper respiratory tract infections, colds or flu, ear infections, eczema or dermatitis, chest infections, bronchiolitis, wheezing, and asthma.

#### Data collection: exposure

The exposure period was 9 February – 31 March 2014 (51 days). Although the fire was declared safe on 26 March 2014, low level smoke emissions continued into the following week. During June – December 2016, participating parents completed questionnaires about their daily location (day and night) during the fire period. We estimated individual exposure to smoke by mapping the 12-hourly reported geographic locations of each child or pregnant mother during the fire period to a high resolution exposure model of mine fire-attributable PM<sub>2.5</sub> concentration (resolution: one hour and one square kilometre). We then derived two exposure variables for each child:

- $\bullet$  mean daily fire-attributable  $\mathrm{PM}_{2.5}$  exposure during the 51-day period; and
- peak 24-hour fire-attributable  ${\rm PM}_{2.5}$  exposure during the fire period, taking into account the exposure time for each child.

The full exposure model included background  $PM_{2.5}$  from natural sources, vehicular and power station emissions, land-scape fires, and the mine fire. The difference in exposure between running the model with and without the mine fire emissions was defined as fire-attributable  $PM_{2.5}$  exposure. Modelled  $PM_{2.5}$  estimates were analysed because the number of monitoring stations in the affected area was insufficient for direct estimation.

Children conceived after the fire were assigned mean daily and peak 24-hour  $PM_{2.5}$  values of zero. Children born during the fire period (that is, exposed both *in utero* and during early childhood) were assigned to the early childhood exposure group, with exposure estimates based solely on their postnatal exposure.

#### Data collection: covariates

We collected data on socio-demographic, medical, household, and environmental tobacco smoke exposure in a survey during

June – December 2016. $^{13}$  Tobacco smoke exposure was defined as the presence of a current smoker in the child's household or exposure to tobacco *in utero*. Background annual NO<sub>2</sub> exposure was derived by mapping participants' residential addresses during the first year of life to a satellite-based land use regression model by Australian 2011 census mesh block. The Australian Index of Relative Socioeconomic Disadvantage (IRSD) deciles for participants' residential addresses during the first year of life, by Statistical Areas level 1 (2011), were obtained from the Australian Bureau of Statistics.  $^{17}$ 

#### Data analysis

Exposure estimates are reported as medians with interquartile ranges (IQRs). We separately examined the association between the magnitude of  $\mathrm{PM}_{2.5}$  exposure and parent-reported monthly diary outcomes (binary outcomes: yes v no) for the in utero and early childhood exposure groups in modified mixed effects Poisson regression models, with participant as a random effect. We used a robust estimator of variance to avoid overestimating parameter estimate standard errors. To minimise the likelihood of sparse data causing spurious results, only outcomes that were reported in at least 5% of diaries were included in our models.

We selected potential confounding factors for inclusion according to reported evidence  $^{18-24}$  and a directed acyclic graph prepared with DAGitty 2.3.  $^{25}$  Covariates included in the model were age, sex, to bacco smoke exposure, mother's level of education, unflued gas heating or a gas stove top in the house, background annual  $\rm NO_2$  level, socio-economic status (IRSD decile), and season of diary report.

We report the relative risk (RR) per 10  $\mu$ g/m³ increase in mean daily fire-attributable PM<sub>2.5</sub> exposure, and per 100  $\mu$ g/m³ increase in peak 24-hour fire-attributable PM<sub>2.5</sub> exposure, with 95% confidence intervals (CIs). In sensitivity analyses, we tested the influence of restricting analysis to children exposed *in utero* or during early childhood (ie, excluding the unexposed group), and of excluding children who were exposed both *in utero* and during early childhood from the early childhood analysis.

We assessed potential selection bias by comparing the socio-demographic characteristics of participants and non-participants in the Latrobe ELF cohort in  $\chi^2$  and independent sample t tests.

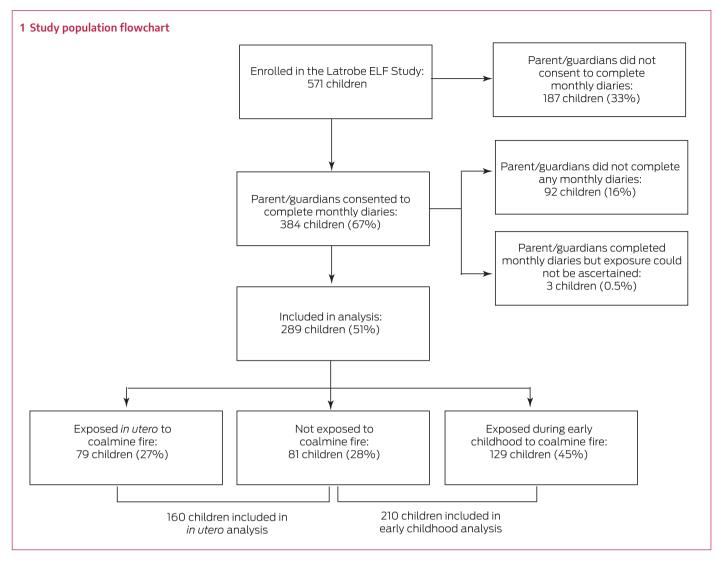
We performed all statistical analyses in Stata/SE 15.0.

#### **Ethics approval**

Our study was approved by the Tasmanian Health and Medical Research Ethics Committee (reference, H14875). Written informed consent was obtained from the parents or guardians of all participating children.

#### **Results**

Of the 571 children enrolled in the ELF cohort, 289 (51%) participated in the study reported in this article (Box 1). PM $_{2.5}$  exposure estimates were lower for participants than non-participants in the cohort (mean daily exposure: median, 2.8  $\mu g/m^3$ ; IQR, 1.6–9.0  $\mu g/m^3$  v 4.8  $\mu g/m^3$ ; IQR, 2.0–12.8  $\mu g/m^3$ ; peak 24-hour exposure: 76.4  $\mu g/m^3$ ; IQR, 41.6–150  $\mu g/m^3$  v 104  $\mu g/m^3$ ; IQR, 59.4–181  $\mu g/m^3$ ). The mean age of participating mothers was higher than for non-participating mothers (29.6 years; standard deviation [SD], 5.0 years v 27.5 years; SD, 5.7 years) and a larger



proportion had had post-secondary education (70% v 40%); larger proportions of the participating children had been breastfed (92% v 80%) and had not been exposed to smoking *in utero* (12% v 24%) or during early childhood (20% v 33%) (Supporting Information, table 1).

# Socio-demographic, medical and smoke exposure characteristics

Most participants (58%) resided in areas in the two lowest IRSD quintiles. Larger proportions of children in the early child-hood exposure group had siblings, attended childcare, and had asthma diagnoses than in the other two groups, probably reflecting their higher mean age (Box 2).

Median coalmine fire-attributable PM $_{2.5}$  exposure was slightly higher in the *in utero* than in the early childhood exposure group with respect to both mean daily PM $_{2.5}$  (3.3 µg/m $^3$ ; IQR, 2.1–10 µg/m $^3$  v 2.4 µg/m $^3$ ; IQR, 1.2–8.3 µg/m $^3$ ) and peak 24-hour PM $_{2.5}$  exposure (93.1 µg/m $^3$ ; IQR, 55.6–174 µg/m $^3$  v 62.9 µg/m $^3$ ; IQR, 29.6–134 µg/m $^3$ ) (Box 3). Mean daily and peak 24-hour PM $_{2.5}$  exposure levels were highly correlated (Spearman rank correlation coefficient, 0.92).

#### Reported health outcomes

We analysed 4672 monthly diaries (mean number per participant, 16.2; SD, 9.0). Cough or runny nose were the most

frequently reported symptoms (2909 diaries, 62.3%); upper respiratory tract infections (534, 11.4%), wheezing or asthma (195, 4.2%), and ear infections (193, 4.1%) were the most frequently reported doctor diagnoses. Visiting a general practitioner or local doctor during the preceding month was reported in 1090 diaries (23.3%) and antibiotic use in 296 (6.3%) (Box 4; Supporting Information, table 2).

# Associations between $PM_{2.5}$ exposure and reported health outcomes

The *in utero* exposure analysis included 2678 monthly diaries for 160 children (exposed *in utero* or unexposed); the early childhood analysis included 3290 diaries for 210 children (exposed aged 0–2 years or unexposed).

Each 10  $\mu$ g/m³ increase in mean daily PM<sub>2.5</sub> exposure *in utero* was associated with increased diary reports of runny nose/cough (RR, 1.09; 95% CI, 1.02–1.17), wheeze (RR, 1.56; 95% CI, 1.18–2.07), seeking health professional advice (RR, 1.17; 95% CI, 1.06–1.29; particularly from other than a general practitioner or hospital doctor: RR, 1.28; 95% CI, 1.09–1.49), and doctor diagnoses of upper respiratory tract infection, cold or flu (RR, 1.35; 95% CI, 1.14–1.60). Associations with peak 24-hour PM<sub>2.5</sub> exposure were similar (Box 5).

Increasing mean daily PM<sub>2.5</sub> exposure during early childhood was not associated with any statistically significant differences

### 2 Socio-demographic characteristics of study participants, by exposure group

	Exposure group			
	Unexposed	In utero	Early childhood	All participants
Number of participants	81	79	129	289
Age at first diary report (years)				
Mean (SD)	2.30 (0.65)	3.05 (0.69)	4.42 (0.89)	3.45 (1.20)
Range	0.81-3.48	1.80-4.23	2.61-6.33	0.81-6.33
Sex				
Boys	44 (54%)	38 (48%)	69 (53%)	151 (52%)
Girls	37 (46%)	41 (52%)	60 (47%)	138 (48%)
Aboriginal or Torres Strait Islanders	4 (5%)	4 (5%)	7 (5%)	15 (5%)
Born in Australia	81 (100%)	79 (100%)	127 (98%)	287 (99%)
Languages spoken at home				
English	81 (100%)	78 (99%)	129 (100%)	288 (100%)
Other	8 (10%)	5 (6%)	5 (4%)	18 (6%)
Biological siblings	50 (62%)	51 (65%)	102 (79%)	203 (70%)
Attends childcare	15 (19%)	37 (47%)	74 (57%)	126 (44%)
Mother's education: beyond year 12	57 (70%)	56 (71%)	90 (71%)	203 (71%)
Mother's age (years)				
Mean (SD)	30.4 (5.1)	29.5 (4.5)	29.1 (5.1)	29.6 (5.0)
Range	22–45	17–43	16-45	16–45
Index of Relative Socioeconomic Disadvantage				
Quintile 1 (most disadvantage)	37 (46%)	31 (39%)	58 (45%)	126 (44%)
Quintile 2	11 (14%)	18 (23%)	13 (10%)	42 (14%)
Quintile 3	10 (12%)	12 (15%)	23 (18%)	45 (16%)
Quintile 4	9 (11%)	8 (10%)	16 (12%)	33 (11%)
Quintile 5	14 (17%)	10 (13%)	19 (15%)	43 (15%)
Breastfed for at least 6 months	46 (57%)	42 (53%)	62 (48%)	150 (52%)
Mother smoked during pregnancy	11 (14%)	7 (9%)	17 (13%)	35 (12%)
Lives with a smoker	17 (21%)	12 (15%)	30 (23%)	59 (20%)
Asthma diagnosis	0	10 (13%)	31 (24%)	41 (14%)
Eczema/dermatitis diagnosis	22 (27%)	29 (37%)	42 (33%)	93 (32%)

in outcome. Each 100  $\mu g/m^3$  increase in peak 24-hour PM<sub>2.5</sub> exposure was associated with increased use of asthma inhalers (RR, 1.26; 95% CI, 1.01–1.58), but not with changes in other outcomes (Box 5).

SD = standard deviation. •

Mean daily and peak 24-hour  $PM_{2.5}$  exposure did not influence numbers of reports of fever, skin rashes, or use of antibiotics, asthma inhalers (exception: peak  $PM_{2.5}$  exposure in early child-hood exposure group, as above), and topical steroid cream or ointments. Of the covariates included in our model, only the season of the diary report and the child's age were consistently associated with outcomes (data not shown).

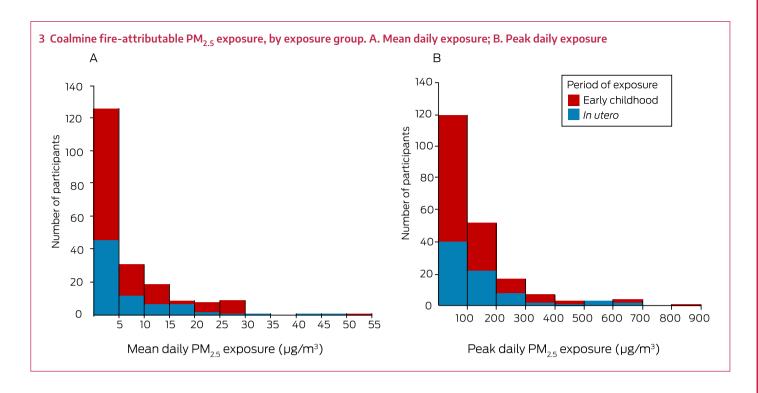
The results of sensitivity analyses restricted to exposed children were similar to those of the main analyses, but the associations between mean daily  $PM_{2.5}$  exposure and wheeze for children exposed *in utero* and between peak 24-hour  $PM_{2.5}$  exposure during

early childhood and asthma inhaler use were no longer significant (Supporting Information, table 3).

In the sensitivity analysis excluding the 21 children with mixed *in utero* and early childhood exposure from the early childhood analysis, none of the associations were statistically significant (Supporting Information, table 4).

#### Discussion

Exposure *in utero* to  $PM_{2.5}$  in smoke from the Hazelwood coalmine fire was associated with higher reported frequency of cough or runny nose, wheeze, seeking health care provider advice, and doctor diagnoses of upper respiratory tract infections, colds or flu 2–4 years after the fire. Associations between early childhood exposure to elevated  $PM_{2.5}$  levels and reported outcomes were not statistically significant, except for

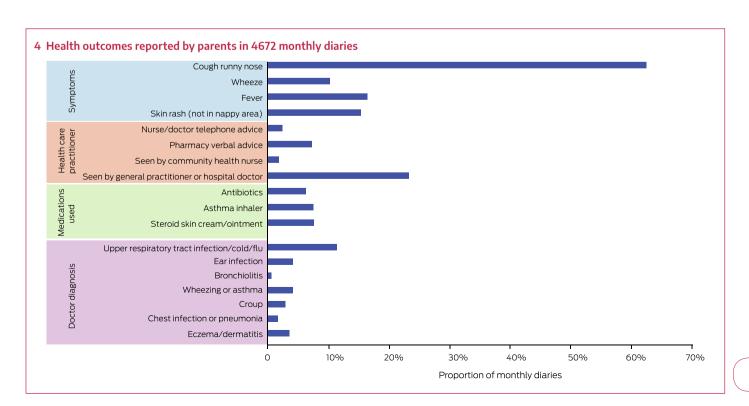


a small increase in asthma inhaler use. Our findings suggest an increased susceptibility to acute respiratory infections during childhood after exposure *in utero* to a severe air pollution episode.

Exposure to air pollution during pregnancy has been linked with reduced lung function during infancy and childhood, <sup>3,6,7</sup> and with recurrent broncho-pulmonary infections in childhood. <sup>5</sup> Similarly, chronic post-natal exposure to traffic-related air pollution and indoor coal combustion has been linked with respiratory infections in young children. <sup>26,27</sup> Our finding that outcomes were more markedly influenced by PM<sub>2,5</sub> exposure

*in utero* than during early childhood was unexpected, as inhalation is presumed to be the primary route of exposure to air pollutants.<sup>28</sup> It can be difficult to disentangle the effects of prenatal and postnatal exposure to ambient air pollution.<sup>3</sup> Unlike previous studies, we could directly compare *in utero* and postnatal exposure because of the time-limited nature of the pollution episode and because there was a single exposure route for most participating children.

Prenatal environmental exposures are thought to be particularly important for long term health because germ and fetal cells are more susceptible to disruption than mature cells.<sup>3,29</sup> The



## 5 Associations between mean and peak daily PM<sub>2.5</sub> exposure and parent-reported outcomes, by exposure group: multivariate analyses\*

	In utero exposure analysis		Early childhood exposure analysis	
	Relative risk (95% CI): per 10 μg/m³ mean PM <sub>2.5</sub>	Relative risk (95% CI): per 100 µg/m³ peak PM <sub>2.5</sub>	Relative risk (95% CI): per 10 µg/m³ mean PM <sub>2.5</sub>	Relative risk (95% CI): per 100 μg/m³ peak PM <sub>2.5</sub>
Monthly diaries	2678		3290	
Symptoms				
Runny nose or cough	1.09 (1.02–1.17)	1.05 (1.01–1.09)	1.05 (0.98–1.13)	1.04 (1.00–1.09)
Wheeze	1.56 (1.18–2.07)	1.29 (1.07–1.55)	1.07 (0.80–1.44)	1.09 (0.93–1.28)
Fever	1.00 (0.84–1.20)	1.01 (0.92–1.12)	1.03 (0.86–1.25)	1.06 (0.94–1.19)
Skin rash (not in nappy area)	0.89 (0.66–1.20)	1.01 (0.83–1.22)	0.91 (0.66–1.26)	0.93 (0.74–1.15)
Health care provider contact				
Any health care provider advice	1.17 (1.06–1.29)	1.10 (1.04–1.16)	1.02 (0.86–1.21)	1.03 (0.92–1.16)
Seen by GP or hospital doctor	1.13 (0.99–1.28)	1.08 (1.00–1.15)	0.95 (0.77–1.17)	1.00 (0.88–1.15)
Other health care provider advice $\!\!\!\!^{\dagger}$	1.28 (1.09–1.49)	1.14 (1.02–1.27)	1.11 (0.87–1.41)	1.06 (0.91–1.25)
Medication use				
Antibiotics	1.06 (0.81–1.39)	1.06 (0.91–1.23)	0.98 (0.71–1.35)	0.99 (0.81–1.21)
Asthma inhalers	1.21 (0.86–1.72)	1.18 (0.94–1.50)	1.39 (0.97–1.99)	1.26 (1.01–1.58)
Steroid skin cream/ointment	0.73 (0.40–1.32)	0.94 (0.66–1.32)	0.79 (0.42–1.47)	0.84 (0.54–1.31)
Medical diagnosis of upper respiratory tract infection/cold/flu	1.35 (1.14–1.60)	1.18 (1.07–1.32)	0.93 (0.71–1.22)	0.98 (0.83–1.17)

<sup>\*</sup>Covariates: age, sex, tobacco smoke exposure, maternal level of education, unflued gas heating or gas stovetop exposure, background NO<sub>2</sub>, Index of Relative Socioeconomic Disadvantage decile, season of diary report.† Seen by child health nurse/pharmacist advice/telephone medical advice.

causal mechanisms are unclear, but it has been suggested that finer particles cross the placenta and act directly on the fetus, or elicit systemic inflammatory and immune responses in the mother that affect lung development. Accordingly, the effects of postnatal  $\rm PM_{2.5}$  exposure may have waned by 2–4 years after the Hazelwood fire, whereas prenatal exposure had a more pervasive impact. Other studies have reported associations between wildfire smoke during pregnancy and birthweight and between coalmine fire smoke and gestational diabetes. Accordingly, the effects of postnatal PM<sub>2.5</sub> exposure may have waned by 2–4 years after the Hazelwood fire, whereas prenatal exposure had a more pervasive impact. Other studies have reported associations between coalmine fire smoke and gestational diabetes.

We also found that *in utero* exposure to smoke was associated with more frequent reports of wheeze, adding to the evidence for a link between prenatal air pollution and wheeze or asthma. <sup>4,31</sup> Ambient air pollution and forest fires have each been strongly associated with asthma exacerbation in both children and adults, <sup>14,26</sup> but in children of the age group in our study wheeze may have been secondary to infections rather than atopic in nature.

It is increasingly recognised that air pollution may play a role in the prevalence and aggravation of atopic dermatitis, <sup>10</sup> although this link was not apparent in our study. The impact of short term exposure to increased air pollution has not previously been investigated, and a measurable impact may require longer exposure.

#### Strengths and limitations

Strengths of our study included the large number of monthly diary reports over more than two years and the detailed information on many potentially confounding variables. Further, collecting detailed time and activity data during the fire allowed us to estimate individual  $\rm PM_{2.5}$  exposure. Parent-reported outcomes included data on less serious illnesses, which may be more sensitive outcome measures than administrative health outcome data.

However, a larger proportion of the participants had mothers with post-secondary school education, and their  $\mathrm{PM}_{2.5}$  exposure was lower than for the Latrobe ELF study cohort in general. Further, participants had a higher level of education than the general population of the Latrobe Valley, and the possibly greater health literacy of their parents may affect the generalisability of our findings. Nonetheless, as the effects of air pollution are generally greater for people in lower socio-demographic areas, we may have underestimated the impact of the pollution episode in our study.

Community concern about the health effects of the Hazelwood fire, particularly for young children and people with respiratory conditions, was widespread, potentially causing reporting or recall bias. Finally, our analyses may not have been adequately adjusted for the differing ages of the children in the exposure groups, leading to unrecognised confounding.

## Conclusion

Severe episodic smoke events from bushfires and planned burns are common in Australia (and elsewhere), and their number will increase with climate change. <sup>32</sup> Our findings highlight the particular vulnerability of the very young, including unborn babies, to insults during critical developmental periods and the importance of protecting them during landscape fire smoke events and other causes of air pollution.

We have reported the first investigation of the longer term effects of time-limited exposure to elevated environmental smoke levels during early life. Our findings suggest that *in utero* exposure to smoke may have a greater impact on long term respiratory health than exposure during the first two years of life. Protecting pregnant women and young children from episodic severe smoke events should be central to public health responses to poor air quality.

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#### Supporting Information

Additional Supporting Information is included with the online version of this article.