# Venous thromboembolism: diagnosis and management of deep venous thrombosis

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enous thromboembolism (VTE) affects 1–2 per 1000 people in the general population each year, usually as deep venous thrombosis (DVT) of the leg or pulmonary embolism (PE). The incidence increases from 1 in 10 000 for individuals younger than 40 years to 1 in 100 for those older than 60 years.

The sequelae of venous thrombosis include pulmonary or systemic embolism, post-thrombotic syndrome, and recurrent VTE. Reducing the burden of disease due to VTE requires effective primary prevention, prompt diagnosis, appropriate treatment of acute thrombosis, and effective long-term secondary prevention.

Here we review the risk factors for VTE, the natural history, diagnosis and treatment of DVT, and primary prevention of VTE. The pathophysiology, diagnosis, prognosis, and treatment of PE and unresolved issues in the management of VTE will be addressed in a subsequent article.<sup>2</sup>

#### Risk factors for venous thromboembolism

The risk factors for VTE can be classified as either *acute provoking* or *chronic predisposing* factors (Box 1).

One-half to two-thirds of all episodes of VTE are *provoked* by an acute trigger such as recent hospitalisation, trauma, or surgery.<sup>3</sup> Among surgical patients, the risk of DVT is highest for hip and knee surgery or major abdominal surgery, and the patient remains at risk for up to 3 months.<sup>4</sup>

The remaining episodes of VTE are *unprovoked*, and occur in patients who are presumed to have a chronic inherited or acquired predisposition for thrombosis. In a quarter of all patients, an underlying predisposition cannot be identified by clinical evaluation or laboratory testing.<sup>3</sup>

Establishing the presence or absence of provoking or predisposing factors for VTE is important for diagnosis and management. Patients with one or more risk factors are more likely to have a positive diagnosis of VTE. Those who are chronically exposed to a predisposing factor or with unprovoked VTE may require extended anticoagulation because of the high risk of recurrence.

# **Natural history**

The natural history and clinical features of DVT are mainly determined by the site of thrombosis.

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## **ABSTRACT**

- Venous thromboembolism (VTE) affects 1–2 per 1000 people in the general population each year.
- Clinical diagnosis of deep venous thrombosis (DVT) is unreliable, and must be confirmed by compression ultrasonography or venography.
- A low clinical pretest probability of DVT and negative D-dimer result reliably exclude the diagnosis, with no need for diagnostic imaging.
- Initial treatment of DVT is with low-molecular-weight heparin or unfractionated heparin for at least 5 days, followed by warfarin (target INR, 2.0–3.0) for at least 3 months.
- A vena cava filter is indicated in patients who are ineligible for anticoagulant therapy or who experience embolism despite therapeutic anticoagulation.
- Thrombolysis or surgical embolectomy may be used as a limb-saving measure in patients with extensive proximal DVT and circulatory compromise that threatens the viability of the leg.
- Decisions regarding the optimal duration of anticoagulation to prevent recurrent VTE should be individualised and balance the risk of recurrence if warfarin is stopped against the risk of major bleeding and inconvenience of continuing treatment.
- The risk of recurrence is highest in people with recurrent unprovoked DVT or chronic predisposing factors (eg, cancer) who require indefinite anticoagulant treatment.

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# Distal (calf vein) DVT

Most DVT starts in the calf. In surgical patients, thrombi often begin intra-operatively. In about half of patients, they resolve spontaneously within 72 hours, but in one-sixth of patients they extend to involve the proximal veins.<sup>5</sup> Isolated calf DVT is usually asymptomatic and does not commonly cause clinically significant PE. Proximal extension of thrombus is more common in patients with symptomatic (compared with asymptomatic) calf vein thrombus and occurs in a quarter of patients within 1 week of presentation.<sup>5</sup> The presence of symptoms, and the extent of proximal progression are harbingers for an increased risk of PE.

# Proximal (popliteal and thigh) DVT

Symptoms of DVT (pain, swelling, tenderness, and redness) generally do not develop until there is involvement of the proximal leg veins. Massive thrombosis can result in vascular compromise and venous gangrene.

About half of patients with symptomatic proximal DVT have clinically silent PE at the time of diagnosis,<sup>6</sup> and 10% have symptomatic PE.<sup>7,8</sup> The prevalence of PE increases with age, particularly above 70 years.<sup>7,8</sup>

# 1 Major risk factors for venous thromboembolism

## Acute provoking factors

- Hospitalisation
- Surgery
- Trauma or fracture of lower limbs or pelvis
- Immobilisation (includes plaster cast)
- Long haul travel
- Recently commenced oestrogen therapy (eg, within previous 2 weeks)
- Intravascular device (eg, venous catheter)

# Chronic predisposing factors

#### Inherited

- Natural anticoagulant deficiency\*
- Factor V Leiden
- Prothrombin G20210A mutation

#### Inherited or acquired

- High plasma homocysteine
- High plasma coagulation factor VIII, IX, XI

# Acquired

- Age
- Obesity
- Cancer (chemotherapy)
- Leg paralysis
- Oestrogen therapy
- Pregnancy or puerperium
- Major medical illness<sup>†</sup>
- Previous venous thromboembolism

Without treatment, a quarter of proximal DVT will propagate during the first 30 days, one-fifth regress, and the rest will remain unchanged.<sup>6</sup> Without adequate treatment, half the patients will experience recurrent symptomatic VTE within 3 months.<sup>9</sup>

With adequate treatment, regression of thrombus occurs during the first week, and recanalisation and resolution of thrombus occurs in about half the patients, generally within the first 3 months. <sup>10,11</sup> Resolution of thrombus is less likely in patients with extensive initial thrombosis or cancer. In some cases, thrombus extends into previously unaffected vein segments despite adequate anticoagulation, but this is usually not associated with an increased risk of PE and is of uncertain clinical relevance. <sup>11</sup>

# Post-thrombotic syndrome

Post-thrombotic syndrome is characterised by chronic leg pain, swelling, venous stasis, and leg ulcers. It occurs in 20% of patients with symptomatic deep vein thrombosis after 2 years.<sup>5</sup>

## Recurrent venous thromboembolism

Among patients with a symptomatic proximal DVT who are treated with heparin and 6 months of warfarin, the incidence of recurrent VTE at 2 years is about 10%. The risk of recurrence is highest in patients with an unprovoked event, and is twice as high in those with a proximal DVT compared with an isolated distal thrombosis. 5

# **Diagnosis**

# Clinical diagnosis

The clinical diagnosis of DVT is unreliable; among those with symptoms and signs of DVT, only 25% have thrombosis confirmed by diagnostic testing. <sup>13</sup>

Clinical prediction rules have been developed to improve the probability of an accurate diagnosis. The most widely used model classifies patients into a high, moderate, or low probability of DVT (Box 2).<sup>14</sup> When coupled with laboratory and radiological imaging tests, this approach is superior to the traditional approach of performing a diagnostic test in all patients with suspected DVT.

# Laboratory testing

D-dimer is a degradation product of cross-linked fibrin, and its plasma levels are high in more than 80% of patients with VTE, depending on the test and the cut-off that is chosen. <sup>16</sup> The sensitivities and specificities of different D-dimer assays differ, so the post-test probability for a given patient varies according to the assay used. Clinicians should be aware of these differences so they can interpret the results accordingly.

Elevated D-dimer levels are not specific for VTE. They may occur in patients with malignancy, infection, recent surgery, trauma, or pregnancy. A negative D-dimer test result excludes the diagnosis of DVT in patients with a low pretest probability of disease. However, it has limited utility to exclude the diagnosis in hospitalised patients, and individual laboratories must establish their own cut-offs for a D-dimer result that excludes the diagnosis.

# Diagnostic imaging

# Ultrasonography

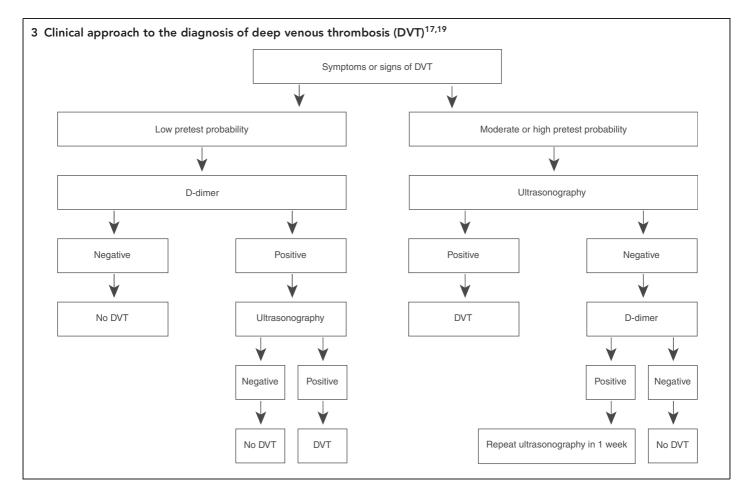
Ultrasonography is the most frequently used imaging method for diagnosing DVT because it is accurate for detecting proximal thrombus, non-invasive, and widely available. The features of DVT on ultrasound include lack of compressibility of the vessel lumen, a distended vessel, and lack of flow in the vessel. <sup>17</sup> The inability to completely compress the vein lumen is the principal criterion for the diagnosis of DVT. <sup>17</sup>

# 2 Assessing the pretest probability of a first episode of deep venous thrombosis<sup>14</sup>

Clinical feature	Score
Active cancer (treatment ongoing or within 6 months or palliative)	1
Paralysis, paresis or recent plaster immobilisation of the lower extremities	1
Recently bedridden for $>$ 3 days, or major surgery within the previous 4 weeks	1
Localised tenderness along the distribution of the deep venous system	1
Entire leg swollen	1
Calf swelling $>$ 3 cm when compared with the asymptomatic leg (at 10 cm below the tibial tuberosity)	1
Pitting oedema (greater in the symptomatic leg)	1
Collateral superficial veins (non-varicose)	1
Alternative diagnosis as likely or greater than that of deep venous thrombosis	-2

In patients with symptoms in both legs, the more symptomatic leg is used. There is a low pretest probability if the score is  $\leqslant 0$ , moderate if 1–2, and high if  $\geqslant 3$ . A recent modification has allowed this model to be applied to patients with previous deep venous thrombosis.  $^{15}$ 

<sup>\*</sup> Protein C, Protein S, Antithrombin III deficiency. † Chronic cardiorespiratory disease, inflammatory bowel disease, nephrotic syndrome, myeloproliferative disorders.



The sensitivity and specificity of compression ultrasonography for the diagnosis of symptomatic proximal DVT are more than 95%. For isolated distal DVT, the sensitivity is 70%, and positive predictive value 80%. Therefore, imaging of the calf veins by ultrasonography is generally not recommended; instead the test should be repeated at 1 week to detect any proximal extension of thrombosis that was not evident on the initial study. More recent data suggest that repeat ultrasonography may be avoided if no thrombus is detected by a combination of compression and doppler ultrasonography of the entire leg, <sup>18</sup> but this remains to be confirmed.

# Venography

Ascending contrast venography is the traditional gold standard test for diagnosing DVT, but is rarely used in clinical practice because it is labour-intensive, requires injection of contrast dye, and is uncomfortable for the patient.

# Clinical approach to the diagnosis of DVT

Our recommended approach to the diagnosis of DVT is outlined in Box 3.

Patients with a *low* clinical pretest probability of a first DVT (probability 5%, based on a score of  $\leq$  0 from Box 2) should have a D-dimer assay performed initially. A negative D-dimer test result is associated with such a low (0.5%) 3-month cumulative incidence of venous thromboembolism that diagnostic imaging is not required. If the D-dimer level is raised, then ultrasonographic scanning is required. A positive ultrasound result confirms the

diagnosis of DVT, and a negative one excludes it (ie, the D-dimer result is likely to have been a false positive).

Patients with a *moderate* clinical pretest probability (33% prevalence, based on a score of 1–2 from Box 2) or *high* clinical pretest probability (85% prevalence, based on a score of  $\geq$  3 from Box 2) should proceed straight to ultrasonography, as a negative D-dimer result cannot reliably exclude the diagnosis. Even with a moderate clinical probability, a negative D-dimer result may be associated with an unacceptably high (3.5%) 3-month incidence of venous thromboembolism. <sup>16</sup>

A negative compression ultrasound scan in patients with a *moderate* or *high* pretest probability does not reliably exclude the diagnosis, as there is still a substantial risk of DVT in the subsequent 3–6 months: 3.6% among patients with moderate probability and 31% among those with high probability.<sup>20</sup> A D-dimer assay is indicated. A negative D-dimer result excludes DVT, but a raised level warrants repeat ultrasonography in 1 week.<sup>17,19</sup>

It may be possible to classify patients into only two groups, according to whether they are "unlikely" or "likely" to have DVT. This modified model has been validated in patients with previous thrombosis. When the diagnosis is unlikely and the D-dimer result is negative, ultrasonography can be safely omitted. However, prediction rules must be independently evaluated in different clinical settings because of differences in the prevalence of disease.

Venography is largely limited to patients with negative ultrasonography and unexplained swelling of the entire lower limb, as cases of isolated iliac vein thrombosis may be missed on ultra-

# 4 Level-of-evidence codes<sup>21</sup>

Evidence for the statements made in this article is graded according to the National Health and Medical Research Council (NHMRC) system for assessing the level of evidence.

- E1 Level I: Evidence obtained from a systematic review of all relevant randomised controlled trials.
- E2 Level II: Evidence obtained from at least one properly designed randomised controlled trial.
- E3<sub>1</sub> Level III-1: Evidence obtained from well-designed pseudorandomised controlled trials (alternate allocation or some other method).
- E3<sub>2</sub> Level III-2: Evidence obtained from comparative studies with concurrent controls and allocation not randomised (cohort studies), case—control studies, or interrupted time series without a parallel control group.
- E3<sub>3</sub> Level III-3: Evidence obtained from comparative studies with historical control, two or more single-arm studies, or interrupted time series without a parallel control group.
- **E4** Level IV: Evidence obtained from case-series, either post-test or pretest and post-test.

sonography.<sup>19</sup> Venography may also have a role in distinguishing acute recurrent DVT from chronic thrombus, as ultrasonography cannot reliably distinguish between old and new thrombus.<sup>17,19</sup>

#### **Treatment**

The aims of treatment are to relieve symptoms, reduce the risk of PE or paradoxical embolism to the systemic circulation, prevent post-thrombotic syndrome, and prevent recurrence. The evidence supporting the treatment recommendations has been graded

# 5 Contraindications for anticoagulation and risk factors for anticoagulation-associated haemorrhage<sup>22</sup>

Absolute contraindications

Active bleeding

Relative contraindications

Recent bleeding

Gastrointestinal bleeding within 2 weeks (eg, bleeding peptic ulcer) Intracranial bleeding within 3 months

Recent major trauma

Bleeding diathesis

Coagulation defect

Severe thrombocytopenia (<  $50 ext{ } 10^9\text{/L}$ ); inherited or acquired platelet function defect

Uncontrolled hypertension

Endocarditis

Risk factors for anticoagulation-associated haemorrhage

Increasing age

Alcoholism

Cognitive impairment

Chronic corticosteroid use

Liver disease

Peptic ulcer disease

Polymorphisms for the gene encoding the hepatic microsomal enzyme CYP2CP and mutations of Ala-10 in the factor IX propeptide

according to the National Health and Medical Research Council's levels of evidence (Box 4).<sup>21</sup>

# Initial anticoagulation

Anticoagulation is the mainstay of treatment for DVT. Contraindications for anticoagulation are summarised in Box 5.<sup>22</sup>

The initial treatment of acute DVT is with low-molecular-weight heparin (LMWH) or unfractionated heparin (UFH) (E1) (Box 6). <sup>22,23</sup> LMWH has become the standard of care for the initial treatment of DVT because it is as effective and safe as UFH (E1), but is more convenient to use and has a more favourable side-effects profile. It can be used once or twice daily.

LMWH has a predictable anticoagulant response, so it can be given in a fixed weight-adjusted subcutaneous dose without laboratory monitoring in most patients. This allows out-of-hospital treatment in more than 80% of patients with acute DVT.<sup>24</sup> Some patients still require admission to hospital (Box 7). Laboratory monitoring of LMWH is required in patients with renal impairment, obesity, or pregnancy. It has been suggested that, because LMWH is excreted renally, the dose should be halved in patients with a creatinine clearance of less than 30 mL/min (E3<sub>2</sub>). In these patients, UFH may be used instead.

LMWH is less likely than UFH to cause heparin-induced thrombocytopenia (E2).<sup>22,23</sup> However, it cannot be used to treat heparin-induced thrombocytopenia because antibodies to UFH cross-react with LMWH. LMWH also causes less osteoporosis than UFH (E2).

UFH requires an initial loading dose followed by continuous intravenous infusion. The anticoagulant response with UFH is unpredictable (because of variable binding of UFH to plasma proteins), and laboratory monitoring of the activated partial thromboplastin time (APTT) is necessary to maintain anticoagulation

# 6 Initial anticoagulation for treatment of deep vein thrombosis

	Anticoagulant and dose	Monitoring*	Target		
I	Unfractioned heparin				
		Measure APTT 6 hours after the bolus dose and adjust infusion	APTT corresponding to anti-Xa 0.3–0.7 U/mL		

accordingly.

# Low-molecular-weight heparin<sup>‡</sup>

Dalteparin	Renal failure; obesity	Anti-Xa 0.6–1.0
100 IU/kg twice daily or	(eg, > 130 kg);	U/mL for twice daily
200 IU/kg once daily.	pregnancy (E4).	dosing or 1.0–2.0
Enoxaparin 1 mg/kg twice daily or		anti-Xa U/mL for once daily dosing (E4).

1.5 mg/kg once daily.

APTT = activated partial thromboplastin time. \* Platelet counts should be measured twice weekly in all patients to monitor for the development of heparin-induced thrombocytopenia. † Based on the nomogram by Raschke et al. Patients requiring more than 40 000 IU/day of unfractionated heparin to achieve a therapeutic APTT are considered heparin-resistant, and dose should be adjusted according to results of the plasma anti-Xa activity. ‡ Dalteparin and enoxaparin are the only low-molecular-weight heparin preparations currently available in Australia.

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# 7 Indications for admission to hospital for acute deep venous thrombosis (DVT)

- High thrombotic load
  - Massive DVT with circulatory compromise
- High risk of bleeding (Box 5)
- Role of low-molecular-weight heparin less well defined
  - · Renal failure
  - Marked obesity (eg, > 130 kg)
  - Pregnancy
  - · Neonates and children
- Safety of home treatment not established
- Symptomatic pulmonary embolism
- Comorbidities that require hospital admission for treatment (eg, cardiac or respiratory illnesses)

within the therapeutic range (Box 6) (E2). Because of differences in laboratory reagents and instrumentation, the target therapeutic APTT range must be determined separately in each laboratory, corresponding to an anti-Xa activity of 0.3-0.7 U/mL (E2).

UFH remains the treatment of choice in patients at high risk of bleeding or undergoing invasive procedures, and in patients with renal failure because of its shorter half-life, reversibility with protamine sulfate, and extra-renal metabolism (E4).

In most cases, warfarin can be started on the first day. LMWH or UFH should be continued for at least 5 days and until the international normalised ratio (INR) has exceeded 2.0 on at least two occasions, 24 hours apart (E2). <sup>26</sup> Major bleeding occurs in 1%–5% of patients during initial treatment. <sup>27</sup>

In patients with extensive ilio-femoral DVT and circulatory compromise, LMWH or UFH should be continued for at least 7 days, and initiation of warfarin should be delayed until anticoagulation has been therapeutic for several days (E4). <sup>19</sup>

# Long-term anticoagulation

Warfarin (target INR, 2.0–3.0) is the anticoagulant of choice for long-term treatment of most patients with DVT, because it can be

given orally and is highly effective, reducing the risk of recurrent VTE by 80%–90% during treatment (E1).<sup>28,29</sup>

In patients with provoked DVT, the risk of recurrent VTE after discontinuation of warfarin is 1%–4% per year. Generally, warfarin treatment should be continued for 3 months (E2), although there is some evidence that 6 weeks treatment is as effective as 3 months in patients with provoked distal DVT (E2).

In patients with unprovoked DVT, major chronic predispositions, or active malignancy, the risk of recurrent VTE after discontinuation of warfarin is substantially higher (at least 5%-10% per year) than for provoked events ( $\leq 4\%$  per year). Decisions regarding the duration of anticoagulant treatment should be individualised by balancing the absolute risk of recurrent VTE (Box 1) with the potential absolute benefits (80%-90% relative risk reduction) and cumulative risks of bleeding (Box 5) associated with anticoagulation.

The optimal intensity and duration of warfarin therapy for VTE are discussed in more detail elsewhere; most patients with unprovoked DVT should be treated for at least 6 months (E2), and long-term LMWH therapy is more effective than warfarin to prevent recurrent VTE in patients with cancer (E2). 31

# Thrombolytic therapy and surgical embolectomy

Compared with heparin, thrombolysis improves vein patency and reduces the risk of post-thrombotic syndrome, but increases the risk of bleeding, and there is no evidence of a net clinical benefit (E1).<sup>32</sup> Thrombolysis and surgical embolectomy have been used as limb-saving therapy in patients with extensive proximal DVT and circulatory compromise or venous gangrene (E4).

# Vena cava filter

Inferior vena cava filters are indicated to prevent pulmonary embolism in patients with DVT who are ineligible for anticoagulant therapy or who experience embolism despite adequate anticoagulation (E4).<sup>33</sup> Filters do not obviate the need for anticoagulation because they are associated with an increased risk

Patient gr	oup	Description*	Strategy <sup>†</sup> (level of evidence <sup>‡</sup> )
Surgical	Highest risk	Multiple risk factors; or hip or knee arthroplasty; traumatic hip fracture surgery; or major trauma	LMWH, warfarin (for hip surgery) plus mechanical methods (E1)
	High risk	Age > 60 years; age 40–60 years and additional risk factors	LMWH or low-dose UFH plus mechanical methods (E1)
	Moderate risk	Minor surgery, additional risk factors; surgery at age 40–60 years and no additional risk factors	s LMWH or low-dose UFH (E1)
	Low risk	Minor surgery, age < 40 years and no additional risk factors	No specific prophylaxis required; early mobilisation $(E3_2)$
Medical		Congestive cardiac failure or severe respiratory disease; confined and at least one additional risk factor	LMWH or low-dose UFH (E1)
Intensive	care unit	Medically ill or postoperative	LMWH or low-dose UFH (E1)
Long dist	ance travel	Flights > 6 hours and additional risk factors	Single dose of LMWH or graduated compression stockings (E3 <sub>1</sub> )

<sup>\*</sup> Minor surgery includes operations, other than abdominal surgery, lasting less than 45 minutes; Major surgery includes any intra-abdominal operation and all other operations lasting more than 45 minutes. Additional risk factors include cancer, previous VTE, sepsis, acute neurological disease or inflammatory bowel disease. † Mechanical methods (graduated compression stockings, intermittent pneumatic compression) should be used instead of pharmacological methods in patients with a high risk of bleeding. ‡ Levels of evidence according to Box 4. LMWH = low-molecular-weight heparin. UFH = unfractionated heparin.

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of recurrent DVT. However, the optimal duration of anticoagulation in patients with vena cava filters in whom anticoagulation is deemed safe is uncertain.

# Graduated compression stockings

Graduated compression stockings reduce the risk of post-thrombotic syndrome and should be used in the absence of contraindications (eg, pre-existing leg ulceration or extensive varicosities) (E2).<sup>34</sup>

# Primary prevention of venous thromboembolism

The rationale for routine thromboprophylaxis in high-risk patients is based on the high prevalence of VTE among certain populations, the adverse consequences of VTE, and the proven effectiveness of thromboprophylaxis to reduce VTE and fatal PE. Pharmacological thromboprophylaxis increases the risk of bleeding, but clinically important bleeding is uncommon. When appropriately used, pharmacological thromboprophylaxis is cost-effective.

Pharmacological methods must be used with caution in patients undergoing spinal or epidural anaesthesia. Mechanical methods of prophylaxis may be used as an adjunct to pharmacological methods in patients with high or very high risk, and as an alternative to pharmacological methods in patients at high risk of bleeding.<sup>4</sup>

Recommendations for thromboprophylaxis are summarised in Box 8.

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