# Treatment of an infant with X-linked severe combined immunodeficiency (SCID-X1) by gene therapy in Australia

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In April 2000, a team at Hôpital Necker-Enfants Malades in Paris reported the successful use of gene therapy to treat two infants with the X-linked form of severe combined immunodeficiency (SCID-X1). SCID-X1 is caused by mutations in the gene encoding the common  $\gamma$  chain ( $\gamma$ c) of several interleukin receptors. Affected infants typically lack both T and natural killer (NK) cells, and have normal or raised levels of functionally deficient B cells that are unable to undergo immunoglobulin class-switching and antibody production.  $^{4,5}$ 

The treatment of choice for SCID-X1, with greater than 90% survival, is bone marrow transplantation from an HLA-identical sibling donor. However, most infants lack such a donor and conventionally undergo an HLA-mismatched transplant with associated mortality rates of up to 30%. <sup>6,7</sup> In most infants, immunological reconstitution remains incomplete, particularly B-cell function, with resultant lifelong requirement for immunoglobulin replacement therapy. Gene therapy offers these infants the potential for improved survival rates and more complete immunological reconstitution.

In collaboration with the French team, we treated an infant with SCID-X1 by gene therapy at The Children's Hospital at Westmead, Sydney, NSW, in March 2002. Here we report the outcome.

# **ABSTRACT**

**Objective:** To report the outcome of gene therapy in an infant with X-linked severe combined immunodeficiency (SCID-X1), which typically causes a lack of T and natural killer (NK) cells.

**Design and setting:** Ex-vivo culture and gene transfer procedures were performed at The Children's Hospital at Westmead, Sydney, NSW, in March 2002. Follow-up to March 2005 (36 months) is available.

**Patient:** A 9-month-old male infant with confirmed SCID-X1 (including complete absence of T cells) with an NK+ phenotype (a less common variant of SCID-X1), and no HLA-identical sibling donor available for conventional bone marrow transplantation.

**Procedure:** CD34+ haemopoietic progenitor cells were isolated from harvested bone marrow and cultured with cytokines to stimulate cellular replication. Cells were then genetically modified by exposure to a retrovirus vector encoding human  $\gamma c$  (the common  $\gamma$  chain of several interleukin receptors; mutations affecting the  $\gamma c$  gene cause SCID-X1). Gene-modified cells (equivalent to 1.3  $10^6$  CD34+/ $\gamma c$ + cells/kg) were returned to the infant via a central line.

**Results:** T cells were observed in peripheral blood 75 days after treatment, and levels increased rapidly to 0.46 10° CD3+ cells/L at 5 months. Within 2 weeks of the appearance of T cells, there was a distinct clinical improvement, with early weight gain and clearance of rotavirus from the gut. However, T-cell levels did not reach the reference range, and immune reconstitution remained incomplete. The infant failed to thrive and developed weakness, hypertonia and hyperreflexia in the legs, possibly the result of immune dysregulation. He went on to receive a bone marrow transplant from a matched unrelated donor 26 months after gene therapy.

**Conclusions:** This is the first occasion that gene therapy has been used to treat a genetic disease in Australia. Only partial immunological reconstitution was achieved, most likely because of the relatively low dose of gene-corrected CD34+ cells re-infused, although viral infection during the early phase of T-cell reconstitution and the infant's NK+ phenotype may also have exerted an effect.

MJA 2005; 182: 458-463

#### FOR EDITORIAL COMMENT, SEE PAGES 440 AND 441.

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# 1 Glossary

 $\alpha\beta$  and  $\gamma\delta$ : Two classes of T-cell receptor; T cells expressing the  $\alpha\beta$  chains are the predominant T-cell type in human peripheral blood.

*CD classification:* System of classifying cell surface molecules, which facilitates identification of different cell types. For example, CD3 is a marker of T cells; CD4 and CD8 define the major T cell types; CD34 cells are haemopoietic progenitor cells capable of differentiating into all haemopoietic lineages, including T cells.

*Cytokine*: Class of immunoregulatory molecules secreted by cells of the immune system; they include the interleukins.

Fluorescence-activated cell sorting (FACS): Technique to characterise and separate a heterogeneous population of cells based on the intensity of fluorescence they emit after tagging by a fluorescent-labelled antibody.

Mitogen: Agent that induces cell division (mitosis).

Naïve T cells: T lymphocytes that have not been activated by an antigen.

Replication-competent: Virus or viral vector that contains all the genes required for replication.

**Replication-defective**: Virus or viral vector that is unable to reproduce itself because a gene or genes critical for replication have been removed.

**Retrovirus vector**: Viral vector derived from a retrovirus, an RNA virus that propagates via conversion into DNA and integration into the chromosomes of target cells.

*Splice-site mutation*: Mutation that prevents or impairs the removal of an intron (segment of non-coding sequence interrupting a gene) from mRNA. Failure of the splicing disrupts normal gene expression.

*T-cell receptor excision circles*: Stable DNA fragments which are excised during T-cell development in the thymus; their presence within T cells indicates that these cells have been recently released from the thymus, indicating thymic activity.

 $V_{\beta}$ : Variable region of the  $\beta$  chain of the T-cell receptor; diversity of the 28 subclasses of the  $V_{\beta}$  family between T cells is a measure of the T-cell repertoire diversity and reflects the ability to recognise a wide range of antigens.

# **METHODS**

# Diagnosis

A 6-month old male infant, the first child of non-consanguineous parents, was referred to The Children's Hospital at Westmead with persistent pneumonia not responding to antibiotic therapy. Past history revealed an episode of cervical lymphadenitis treated with antibiotics at the age of 2 weeks and poor weight gain from 3 months. There was a strong family history of male deaths in infancy on the maternal side. Examination on presentation revealed a malnourished infant whose weight was below the 3rd centile. He had severe oral and napkin-area thrush despite topical antifungal treatment, intermittent diarrhoea, a moist cough, but no visible tonsils or palpable lymph nodes.

Chest x-ray revealed diffuse interstitial changes and an absent thymic shadow. *Pneumocystis carinii* was detected on bronchoalveolar lavage. Rotavirus was isolated from his stools. Immunological investigations confirmed a severe combined immunodeficiency with a complete absence of T cells and a total lymphocyte count of 2 10<sup>9</sup>/L (reference range [RR], 2–13 10<sup>9</sup>/L), of which 70% were B cells and 30% NK

cells (RRs, 13%–35% and 2%–13%, respectively) by fluorescence-activated cell sorting (FACS) analysis (see glossary in Box 1). Serum IgG and IgA were undetectable, while the IgM level (0.63 g/L) was in the reference range. Cell surface expression of γc was undetectable on NK cells. These NK cells (defined as CD3-CD16/CD56+ cells) were not fully functional, exhibiting normal cytolytic activity against an NK-sensitive cell line (human chronic myeloid leukaemia cell line K562), but failure to proliferate in response to interleukin-2 (not shown).

A provisional diagnosis was made of SCID-X1 with an NK+ phenotype (a less common variant of SCID-X1). The infant was treated with high-dose intravenous trimethoprim–sulfamethoxazole, intravenous immunoglobulin, fluconazole and nasogastric feeding. His clinical condition improved rapidly.

Molecular studies confirmed the diagnosis. The entire genomic sequence of the  $\gamma$ c gene was analysed after amplification of peripheral blood DNA by polymerase chain reaction (PCR). This revealed a single substitution (A $\rightarrow$ C) at the third base of intron 3, consistent with a splice-site mutation. Two aberrantly spliced species of  $\gamma$ c mRNA and

trace amounts of correctly spliced  $\gamma$ c mRNA were detected by reverse transcriptase-PCR analysis, thereby unequivocally establishing the diagnosis of SCID-X1.<sup>8</sup>

As the infant did not have an HLA-compatible sibling or matched related donor, he was considered a candidate for gene therapy.

# Gene therapy protocol

The trial proposal was evaluated by the Gene and Related Therapies Research Advisory Panel (GTRAP) of the National Health and Medical Research Council and the Therapeutic Goods Administration (TGA) through the Clinical Trial Exemption scheme for experimental therapeutic goods. After approval by the institutional ethics committee of The Children's Hospital at Westmead and informed parental consent, the infant was enrolled in February 2002.

We used the gene therapy protocol and standard operating procedures of the French team  $^{1,9}$  (Box 2). Bone marrow (143 mL) was harvested from the infant and, after selection, yielded 42.0  $\,\,10^6$  CD34+ cells at 79% purity (CD34+ cells are haemopoietic progenitor cells capable of differentiating into all haemopoietic lineages, including T cells.) These cells were negative for  $\gamma c$  expression by FACS analysis.

The cells were cultured and subjected to genetic modification by a retrovirus vector encoding human  $\gamma c$  (Box 2).<sup>1,9</sup> The vector was derived from a replication-defective Moloney murine leukaemia virus, as previously described.<sup>10</sup> The vector-containing supernatant was free of replication-competent retrovirus.

After genetic modification, a total of  $86.2 ext{ } 10^6$  cells were re-infused into the infant via a central line. Of these cells, 37% remained CD34+, and 10% both CD34+ and  $\gamma$ c+ (equivalent to  $1.3 ext{ } 10^6$  CD34+/ $\gamma$ c+ cells/kg).

# Immunological monitoring

After gene therapy, the infant was carefully monitored for evidence of immunological reconstitution, including appearance of T-cells and particular T-cell subsets, expression of  $\gamma$ c, diversity of T-cell repertoire and function.

# **RESULTS**

# Immune reconstitution

Gene therapy was performed in March 2002 when the infant was aged 9 months. Four-

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# 2 Gene therapy protocol<sup>1,9</sup>

Cell harvesting: Under general anaesthesia, bone marrow was harvested from the patient into a closed collection bag. Cells carrying the CD34 antigen (haemopoietic progenitor cells) were selected using the CliniMACS system (Miltenyi Biotec, Bergisch Gladbach, Germany).



**Pre-activation of cells with cytokines:** CD34+ cells were cultured in gas-permeable bags (Baxter, Deerfield, III) in a purpose-built clean-room. Cells were stimulated to grow at a concentration of 5 10<sup>5</sup> cells/mL in X-vivo 10 medium (BioWhittaker, Walkersville, Md) with 4% fetal bovine serum (CSL, Australia); 60 ng/mL IL-3 (Stem Cell Technologies, Canada); 300 ng/mL stem-cell factor (Amgen, Thousand Oaks, Calif); 300 ng/mL Flt-3 ligand (R&D Systems, Minneapolis, Minn); and 100 ng/mL human recombinant thrombopoietin (R&D Systems).



Genetic modification by retroviral vector: After 24 hours of preactivation, cells were harvested and transferred to fresh bags coated with RetroNectin (52  $\mu g/mL$ , TaKaRa, Japan) (a recombinant peptide of human fibronectin that enhances retrovirus-mediated gene transfer) containing retroviral vector supernatant manufactured and supplied at a functional titre of 8.2  $\,$  10 transducing units (TU)/mL by Genopoietic (Lyon, France). Protamine sulfate (2  $\mu g/mL$ , Rhone-Poulenc Rorer Pharmaceuticals, Collegeville, PA) and the above cytokines were also added. The cells underwent gene transfer over 3 days during which the retroviral vector and cytokine mix were replaced every 24 hours.



Quality control testing: After each transfer cycle, cultures were evaluated for sterility, viability, persistence of CD34+ expression and acquisition of  $\gamma c$  expression.



**Cell harvesting and washing:** Cells were then harvested, washed and resuspended in 4% human albumin solution (CSL).



Reinfusion: Cells were re-infused into the patient, without preconditioning, via a central line.

teen days after infusion, the earliest time-point investigated, vector-encoded  $\gamma c$  mRNA transcripts were detected in peripheral blood cell mRNA, while integrated vector DNA was first detected at 32 days (Box 3A). These signals intensified with time, indicating replication of gene-corrected cells encoding  $\gamma c$ . T cells (initially CD4+ cells) were first observed at 75 days (Box 3B). T-cell counts increased rapidly to 5 months, reaching 0.46  $10^9$  CD3+ cells/L at 154 days (Box 4).

Within 2 weeks of the appearance of T cells, there was a distinct clinical improvement, including weight gain and clearance of the chronic gastrointestinal rotavirus infection.

T cells expressing cell surface  $\gamma c$  became detectable in the peripheral blood 3 months after treatment, and the level of  $\gamma c$  expression on these cells increased with time (Box 5A). Analysis of peripheral blood revealed the presence of  $\alpha\beta$  (95%) and  $\gamma\delta$  (5%) T cells, naïve (CD45RA+) and memory (CD45RO+) T-cell phenotypes (not shown), and the acquisition of a diverse, albeit less complex than normal, T-cell receptor repertoire. PCR-based analysis of T-cell receptor

diversity among  $\alpha\beta$  T cells revealed expression of all 28 V $_{\beta}$  families (Box 5B) and normal distribution of variable region length in some, but not all, families (Box 5C).

These results confirm the appearance of major lymphocyte subsets, and the ability of these cells to acquire a memory phenotype as a consequence of antigen exposure. However, the reduced complexity of the T-cell receptor repertoire suggested a reduced capacity to respond to a diverse spectrum of antigens. In addition, although T cell count increased rapidly to 5 months after therapy, there was no consistent increase in the ensuing months, and counts never reached the reference range (Box 4).

The infant had detectable but reduced levels of T-cell proliferation to mitogen when tested 4 months after gene therapy using concanavalin A, and again at 1 year using phytohaemagglutinin. There was no in-vitro T-cell proliferation response to *Candida* antigen at 4 months, possibly explained by lack of exposure to this infectious agent. Expression of  $\gamma$ c on NK cells was not observed until 19 months after treatment.

The lack of detectable  $\gamma c$  expression on B cells was unchanged, and the patient

remained immunoglobulin-dependent. T-cell receptor excision circles<sup>12</sup> (which are generated by rearrangement of the T-cell receptor locus and provide a measure of naïve T-cell production) remained undetectable in samples of peripheral blood taken 4, 8 and 16 months after treatment (not shown). The apparent discrepancy between the detection of naïve T cells and failure to detect T-cell receptor excision circles might be explained by low numbers of cells undergoing T-cell receptor rearrangement, followed by higher than normal levels of replicative expansion.

# Subsequent progress

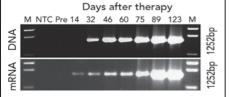
Three months after receiving gene-corrected cells, the infant was discharged from hospital well. A month later, he presented with fever, symptoms of an upper respiratory tract infection, and tilting of his head to the left. A swab of the central-venous-line exit site grew *Pseudomonas aeruginosa*. He was treated with flucloxacillin and gentamicin, and the central venous line was removed. Subsequently, rhinovirus was isolated from a nasopharyngeal aspirate taken at this presentation.

The infant's condition did not improve and, over the next 2 months, he developed progressive neurological signs, including regression of milestones, loss of head control, truncal and lower limb hypertonia and clonus. Extensive investigations were carried out, including cultures of cerebrospinal spinal fluid (CSF), nasopharyngeal aspirate and stool, measurement of vitamin  $B_{12}$ / folate and urinary methylmalonic acid levels, and magnetic resonance imaging (MRI) of the brain and spine.

Parainfluenza virus type 3 was isolated from nasopharyngeal secretions, and CSF analysis revealed a markedly elevated neopterin level, consistent with possible T-cell activation, but normal cell counts, biochemistry and microscopy results, and negative culture. MRI of the brain showed diffuse mildly delayed myelination of unknown significance. Investigations were otherwise unhelpful in defining the cause of the symptoms.

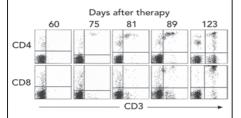
A provisional diagnosis was made of spastic diplegia, the two possible causes being subacute viral infection (despite negative viral cultures of CSF, throat swab and stool, and negative enterovirus PCR studies of CSF), or an autoimmune reaction mediated by dysregulated T cells. Assays for replication-competent retrovirus, using three inde-

# 3 Detection of gene-corrected cells in peripheral blood after gene therapy



A. Detection by polymerase chain reaction (PCR) of cells containing integrated vector DNA (upper panel) and cells expressing vector-encoded mRNA (lower panel). The PCR primers used amplify a 1252 base-pair product encompassing the  $\gamma c$  gene.

M = molecular weight marker; NTC = no template control; Pre = pre-treatment sample.



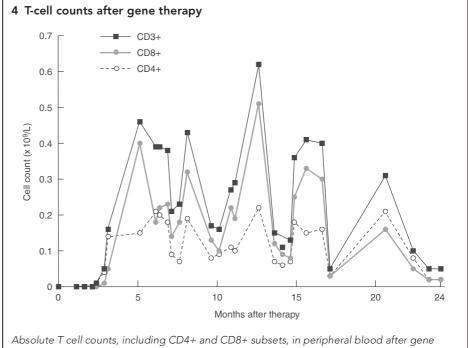
B. Analysis of peripheral blood mononuclear cells by fluorescence-activated cell sorting, showing the emergence of T cells 75 days after gene therapy. Cells were immunostained for expression of CD3 antigen (a cell-surface marker of T cells) plus either CD4 (top) or CD8 (bottom) antigens (which define the major T cell types).

T cells (initially, CD3+CD4+ cells) were first observed 75 days after therapy (upper right quadrant). The CD3-CD8+ and CD3-CD4+ cells detected before this were probably natural killer cells and monocytes, respectively.

pendent methods, including high-sensitivity PCR, were negative.

Neurological signs appeared to regress after infusion of high-dose intravenous immunoglobulin and after each subsequent monthly infusion. However, the infant's general condition did not improve, with recurrent bouts of diarrhoea, failure to thrive and weight beneath the 3rd centile. Further extensive investigations failed to define a cause, including endoscopy and biopsy of the small bowel, which revealed no evidence of inflammatory or granulomatous changes.

He was treated with a 2-month course of metronidazole for presumed small bowel bacterial overgrowth, and a period of gut



Absolute T cell counts, including CD4+ and CD8+ subsets, in peripheral blood after gene therapy. Maximum counts are 30%–50% of the low end of the reference range.

rest supported by total parenteral nutrition. This was followed by an intensive feeding regimen that was poorly tolerated. He failed to gain weight over a period of 6 months.

At 26 months after gene therapy, he received a bone marrow transplant from a matched unrelated donor. Although there was rapid reconstitution of red cells, myeloid cells and platelets, total lymphocyte numbers remained low (0.4 109/L at 9 months after the transplant). His post-transplant course was complicated by continuing dependence on intravenous immunoglobulin, chronic graft-versus-host disease (requiring high-dose corticosteroids), recurrent infection and ongoing neurological dysfunction (as described above), but complicated by muscle weakness, possibly a steroid-induced myopathy. However, by the time of follow-up 10 months after the transplant, the diarrhoea had settled, he was tolerating food and had gained weight.

#### **DISCUSSION**

To date, 18 infants worldwide have been treated for SCID-X1 by gene therapy: 10 in France, seven in Britain (unpublished observations), and our patient. Seventeen remain alive and, with a single exception, have undergone partial or complete immunological reconstitution.

Our patient underwent partial immunological reconstitution after gene therapy. Initially, T-cell counts increased rapidly, as observed in other infants, 1,9,13 reaching near maximal levels about 5 months after treatment, but they never reached the reference range. The T-cell receptor repertoire, while diverse, did not attain normal levels of complexity, T-cell responsiveness remained impaired, and the infant continued to be dependent on intravenous immunoglobulin. We hypothesise that this partial immune reconstitution was most likely due to the relatively low dose of CD34+/yc+ gene-corrected cells reinfused (1.3 10<sup>6</sup> cells/kg). Infants undergoing robust immunological reconstitution have received higher cell doses, in the range 3  $10^6$ –22  $10^6$  cells/kg.

Another factor possibly affecting immune reconstitution was the viral infection that occurred 4 months after gene therapy. However, a contrary argument is the good immune reconstitution seen in two infants in the French series who had severe viral infections at the time of gene therapy (unpublished observations, AF, MC-C and SH-B-A).

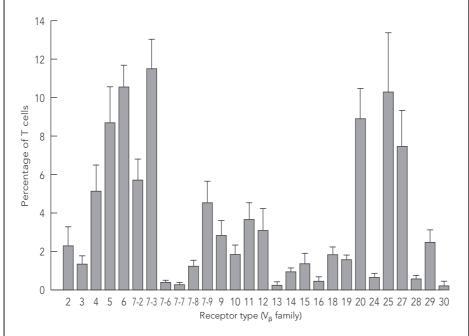
Finally, the infant's NK+ phenotype might have had an effect, possibly by reducing the selective growth advantage of genetically corrected T-cell progenitors in the bone marrow. This selective advantage is believed to underpin the success of gene therapy in SCID-XL. More data from infants with NK+

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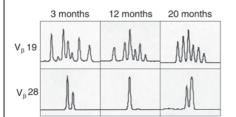
# 5 Characterisation of T cells in peripheral blood after gene therapy Control 1 month 3 months 12 months 20 months

**A.** Detection of cell surface  $\gamma c$  protein expression on T cells in peripheral blood by fluorescence-activated cell sorting. The first panel shows blood from a normal control patient with T (CD3+) cells expressing  $\gamma c$  in the upper right quadrant. Such cells were absent from our patient's blood 1 month after gene therapy, but appeared progressively from 3 months.

CD3



**B.** Profile of T-cell receptor  $V_{\beta}$  families (subsets of the  $\beta$  chain) present among  $\alpha\beta$  T cells in peripheral blood 18 months after gene therapy, determined by quantitative polymerase chain reaction (PCR) analysis. <sup>11</sup>



C. Diversity of T-cell receptor repertoire within representative  $V_{\beta}$  families, determined by PCR-based analysis at 3, 12 and 20 months after gene therapy. Panels show increasing diversity over time in the  $V_{\beta}$ 19 family, but not the  $V_{\beta}$ 28 family.

SCID is required to resolve this question. Interestingly, expression of  $\gamma c$  on a small proportion of NK cells was first detected 19 months after treatment, a result consistent with reduced selective advantage of genecorrected NK cells.

While clear clinical benefit initially accompanied the appearance of T cells, as evidenced by weight gain and clearance of a chronic rotavirus infection, the infant's

ongoing clinical course was complicated by the development of spastic diplegia, persistent gut dysfunction and failure to thrive. Reasons for these complications remain unclear despite extensive investigation. The neurological signs appeared in concert with rising T-cell counts, raising the possibility of an autoimmune mechanism. It is plausible that the partial immunological reconstitution led to reduced regulatory complexity in

the T-cell compartment and a propensity for autoimmunity. However, an autoimmune phenomenon in the gut was not supported by histological examination of the small bowel, and enteropathy secondary to inadequate immune reconstitution was considered probable.<sup>14</sup>

Following these outcomes, therapeutic options included repeating the gene therapy procedure to "top up" the number of gene-corrected haemopoietic progenitors in the bone marrow, or bone-marrow transplantation from a matched unrelated donor. We chose the second, more conservative, action. Considerations included the possible impact of the infant's NK+ phenotype on the efficacy of gene therapy, the unexplained neurological complications, and unresolved questions about the risk of insertional mutagenesis.

An inherent property of retroviral vectors, fundamental to their successful use in the treatment of SCID-X1<sup>1,9</sup> and, more recently, adenosine deaminase deficiency (ADA-SCID),<sup>15</sup> is the capacity to insert copies of the encoded therapeutic gene into host-cell chromosomal DNA. Integration ensures that all cells arising from gene-modified progenitors carry the therapeutic gene. However, the downside of integration is the potential to dysregulate the expression of genes at or near the site of insertion, a process known as insertional mutagenesis.

This risk was considered largely theoretical until the recent occurrence of acute T-cell leukaemia in three of the 11 infants (including our patient) treated for SCID-X1 by gene therapy in the French-based trial. In the first two of these infants, one of whom has died, <sup>16</sup> this was shown to be the direct consequence of retroviral vector integration into, and activation of, the oncogene *LMO-2*, <sup>17</sup> which is recognised to have aberrant expression in a subset of T-cell leukaemias. <sup>18,19</sup> The cause of leukaemia in the third infant is yet to be determined, but is likely to be similar.

Consequently, the French trial is on hold while the risk of insertional mutagenesis is reevaluated, and strategies are developed to reduce the risk. These will involve improved design of the gene-transfer vector, more precise characterisation of target haemopoietic progenitor cells, improved culture conditions to maintain differentiation potential, and determination of the minimal cell dose required for complete immunological reconstitution. Once this is achieved, the promising efficacy of gene therapy for SCID-X1 can be further investigated. In the interim, longer term follow-up data in children already suc-

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cessfully treated by this approach will become available, providing further insight into the apeutic safety and durability.

# **ACKNOWLEDGEMENTS**

We are indebted to the patient's family for their support of this study and are grateful to Genopoietic (Lyon, France) for supplying the vector-containing supernatant. Analysis of T-cell proliferation, NK cell cytolytic function and T-cell receptor excision circles were kindly performed by the Clinical Immunology Laboratory at the Royal Price Alfred Hospital, Sydney, NSW. We would also like to acknowledge funding from the NSW Health Department. SLG is supported by the Noel Dowling Research Fellowship.

#### **COMPETING INTERESTS**

None identified.

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(Received 26 Nov 2004, accepted 8 Mar 2005)