# Naltrexone in alcohol dependence: a randomised controlled trial of effectiveness in a standard clinical setting

Noeline C Latt, Stephen Jurd, Jennie Houseman and Sonia E Wutzke

NALTREXONE, an opioid antagonist, was introduced into Australia in 1999 for the treatment of alcohol dependence within a comprehensive treatment program. Alcohol use stimulates opioid receptors and releases endorphins in the brain, 1,2 and naltrexone is thought to reduce the incentive to drink and decrease craving by blocking these pleasurable "high" effects of alcohol. 3,4

The efficacy of naltrexone as a treatment for alcohol dependence has been documented in several double-blind, placebo-controlled studies.<sup>3,4,5-9</sup> It has been found to significantly reduce the rate of relapse into heavy drinking and the number of drinking days.<sup>2,3</sup> These predominantly North American studies also used comprehensive psychosocial programs which included coping skills supportive therapy,<sup>5,6</sup> relapse prevention,<sup>3,4</sup> intensive manual-guided cognitive behavioural therapy,<sup>7</sup> adjunctive psychosocial interventions,<sup>8</sup> and/or weekly group therapy.<sup>9</sup>

A key question is whether naltrexone is beneficial when only a modest level of supportive or psychosocial therapy is available, a reality in many clinical settings. We aimed to determine the safety and effectiveness of naltrexone in patients of both sexes in a standard clinical setting without extensive psychosocial interventions. We also examined levels of compliance and determined whether naltrexone significantly improves medical and psychosocial outcomes.

# ABSTRACT

**Objectives:** To determine whether naltrexone is beneficial in the treatment of alcohol dependence in the absence of obligatory pyschosocial intervention.

**Design:** Multicentre, randomised, double-blind, placebo-controlled trial.

**Setting:** Hospital-based drug and alcohol clinics, 18 March 1998 – 22 October 1999

**Patients:** 107 patients (mean age, 45 years) fulfilling *Diagnostic and statistical manual of mental disorders* (4th edition) criteria for alcohol dependence.

*Interventions:* Patients with alcohol dependence were randomly allocated to naltrexone (50 mg/day) or placebo for 12 weeks. They were medically assessed, reviewed and advised by one physician, and encouraged to strive for abstinence and attend counselling and/or Alcoholics Anonymous, but this was not obligatory.

Main outcome measures: Relapse rate; time to first relapse; side effects.

**Results:** On an intention-to-treat basis, the Kaplan–Meier survival curve showed a clear advantage in relapse rates for naltrexone over placebo (log-rank test,  $\chi_1^2 = 4.15$ ; P = 0.042). This treatment effect was most marked in the first 6 weeks of the trial. The median time to relapse was 90 days for naltrexone, compared with 42 days for placebo. In absolute numbers, 19 of 56 patients (33.9%) taking naltrexone relapsed, compared with 27 of 51 patients (52.9%) taking placebo (P = 0.047). Naltrexone was well tolerated.

**Conclusions:** Unlike previous studies, we have shown that naltrexone with adjunctive medical advice is effective in the treatment of alcohol dependence irrespective of whether it is accompanied by psychosocial interventions.

MJA 2002; 176: 530-534

# **METHODS**

#### Inclusion/exclusion criteria

Patients aged 18–70 years, with DSM-IV (Diagnostic and statistical manual of mental disorders – 4th edition) criteria of alcohol dependence, presenting to drug and alcohol services at four Sydney hospitals (Royal North Shore, Hornsby, and Royal Prince Alfred hospitals, and the Northside Clinic) were assessed for

the trial. Excluded were pregnant women and women of child-bearing age not protected by contraception; patients using either illicit or prescribed opioids; patients with significant liver disease (y-glutamyltransferase, aspartate aminotransferase or alanine aminotransferase levels more than twice normal); and patients with any concomitant major medical or psychiatric illness, untreated major depression or a recent suicide attempt.

# Assessment procedures

After a full history and clinical examination, patients who fulfilled entry criteria completed several self-rating questionnaires, including the Alcohol Use Disorders Identification Test (AUDIT),<sup>10</sup> CAGE,<sup>11</sup> and the Severity of Alcohol Dependence Questionnaire (SADQ).<sup>12</sup> Craving was measured by the Obsessive Compulsive Drinking Scale (OCDS),<sup>13</sup>

Faculty of Medicine, University of Sydney, and Herbert Street Drug and Alcohol Clinic, Royal North Shore Hospital, St Leonards, NSW.

**Noeline C Latt,** MB BS, MRCP, MPhil, Staff Specialist; **Stephen Jurd,** MB BS, FRANZP, Medical Director; **Jennie Houseman,** BPharm, MA(ClinDrugDepStud), Consultant Pharmacist, Herbert Street Drug and Alcohol Clinic.

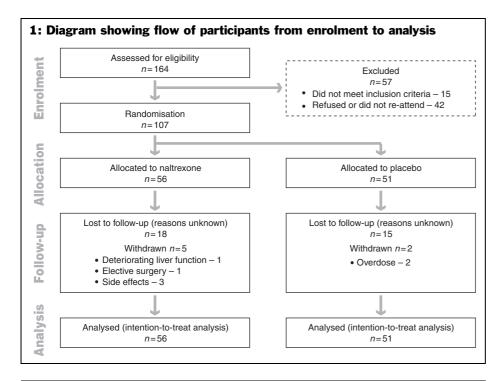
Faculty of Medicine, University of Sydney and Drug Health Services, Royal Prince Alfred Hospital, Sydney, NSW.

Sonia E Wutzke, BSc(Psych)(Hons), MPH, PhD, Project Manager.

Reprints: Dr Noeline C Latt, Herbert Street Drug and Alcohol Clinic, Royal North Shore Hospital, St Leonards. NSW 2065.

nlatt@mail.usvd.edu.au

**530 MJA** Vol 176 3 June 2002



# 2: Patients' baseline alcohol history and clinical characteristics related to alcohol. Data are given as means (95% CI)

	Naltrexone ( $n = 56$ )	Placebo $(n = 51)$	Total (n = 107)
Age of onset of drinking (years)	23.0 (18.4–27.6)	22.2 (17.4–27.0)	22.8 (19.5–26.0)
Age of onset of drinking problems (years)	31.0 (28.0–34.0)	30.6 (27.6–33.6)	30.8 (28.7–32.0)
Duration of drinking problems (years)	14.5 (10.1–18.9)	13.6 (8.6–18.6)	14.1 (10.9–17.3)
Baseline alcohol intake (g/week)	1200.3 (1075.0–1365.7)	1152.2 (1026.9–1277.5)	
AUDIT score	27.6 (25.5–29.7)	28.6 (26.9–30.3)	
CAGE score	3.4 (3.2–3.6)	3.3 (3.1–3.5)	
SADQ score	22.5 (18.9–26.1)	24.5 (20.5–28.5)	
AUDIT = Alcohol Use Disorc			

and depression was assessed by the Beck Depression Inventory (BDI).<sup>14</sup> Blood was taken for routine full blood count and liver function tests.

# Sample

Of 164 patients who were assessed between 18 March 1998 and 22 October 1999, 107 (67%) were eligible and willing to take part in the trial and were enrolled: 56 were assigned by random numbers (generated by J H) to naltrexone (50 mg/day) and 51 to placebo. Only 15 of 164 patients (9%) did not meet inclusion criteria.

# Ethics approval

Ethics committee approval was obtained from relevant hospital and Area Health ethics committees and participants gave their written informed consent.

# Follow-up procedures

One physician (N L) followed up all patients at 1, 2, 3, 4, 6, 8 and 12 weeks after the baseline assessment. Patients who chose to continue taking naltrexone for a further 3 months were followed up on a monthly basis. Major variables recorded at each visit included

clinical examination results, patients' self-reported quantity and frequency of alcohol consumption, and a structured checklist of side effects. Standardised medical advice was provided and test results were discussed in a therapeutic relationship. In addition, at 3 and 6 months after the baseline assessment, patients again completed the OCDS and the BDI, and liver function tests and a full blood count were repeated. Compliance with treatment was assessed by attendance at follow-up, tablet counts and random breath tests.

#### **Outcomes**

The major outcomes reported include relapse rates (defined as drinking to previous heavy levels, in excess of National Health and Medical Research Council recommendations<sup>15</sup>), time in days to first relapse, and subjective reports of side effects. Patients who did not attend follow-up and whose outcome was unknown were considered to have dropped out of the trial.

# Statistical analysis

Calculations of number of participants were made based on the assumption that naltrexone, 50 mg a day taken orally over a period of 3 months, halves relapse rates compared with placebo (ie, relapse rates of 25% in naltrexonetreated patients, compared with 50% for placebo). It was calculated that 59 patients per treatment arm would be required for the study (80% power at 5% significance level).

Results were analysed on an intention-to-treat basis. Time to relapse was measured with a Kaplan-Meier lifetime survival analysis and log-rank test, with and without adjusting for confounding variables (Cox analysis). Continuous secondary outcomes were analysed by using analysis of covariance, with baseline value as the covariate. Discrete variables were compared between treatment arms using standard  $\chi^2$  tests. The two-sample t-test was used to compare continuous demographic variables. To reduce skew, γ-glutamyltransferase, aspartate aminotransferase and alanine aminotransferase levels were transformed using the natural log.

**MJA** Vol 176 3 June 2002 531

# RESULTS

#### Characteristics of participants

A diagram of the flow of participants from enrolment to analysis is given in Box 1. The 107 participants (74 men and 33 women) had a mean age of 44.8 years (95% CI, 42.8–46.8; range, 23–70 years). There were no significant differences in age, sex ratio or demographic characteristics between the patients in the naltrexone and placebo groups (including marital status, educational level, and employment history) (data not shown).

All patients underwent detoxification before commencing the trial; 76 (71%) underwent home detoxification and 31 (29%) were admitted to a detoxification unit. All abstained from alcohol for a mean of 11.7 days (range, 7–51 days).

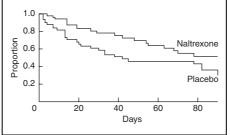
Mean weekly alcohol intake at baseline was 1200.3 g (95% CI, 1075.0-1365.7) for naltrexone and 1152.2 g (95% CI, 1026.9-1277.5g) for placebo (equivalent to 17 standard drinks per day), indicating moderate to severe alcohol dependence (Box 2). On average, alcohol-related problems had emerged in the patients' early 30s, about 10 years after the onset of heavy drinking. The mean duration of alcohol dependence was 14.1 years (95% CI, 10.9-17.3). About 72% had been to Alcoholics Anonymous (AA) and 55% had undergone residential detoxification. Seventy-five per cent had a family history of alcoholism, 77% a history of blackouts, 55% of drink-driving charges and 36% of violence or crime.

Regardless of treatment response, 67 patients (63%) attended treatment for 12 weeks: 33/56 (59%) taking naltrexone and 34/51 (67%) taking placebo. Subsequently, 30/67 patients (45%) chose to continue taking naltrexone for a further 3 months of treatment: 16 of those initially taking naltrexone and 14 of those given placebo.

# Relapse rate

In absolute numbers, fewer patients taking naltrexone (19/56; 33.9%) relapsed compared with those taking placebo (27/51; 52.9%) (P = 0.047). The odds of relapsing were twice as likely for placebo compared with naltrexone (odds ratio [OR], 2.2; 95% CI, 1.0–4.8). On an intention-to-treat basis, the

# 3: Survival curve of time to first relapse in naltrexone and placebo groups



Kaplan-Meier survival curve (Box 3) also showed that the relapse rate was significantly lower in the naltrexone group compared with the placebo group (log-rank test,  $\chi_1^2 = 4.15$ ; P = 0.042). Overall, the relative risk of relapsing was 0.55 for the naltrexone group compared with the placebo group (95% CI, 0.3-0.9). The median time to relapse was greater for patients in the naltrexone group compared with the placebo group (90 v 42 days, respectively). Timedependent Cox regression analysis confirmed that the relapse-preventing effect of naltrexone was most marked during the first 42 days (P = 0.012).

# **Drinking days**

While there were statistically significant differences in the number of days to relapse in the patients who relapsed, there were no significant differences in the number of drinking days per week across the two treatment groups: 2.20 (SE, 0.6) for naltrexone and 2.26 (SE, 0.7) for placebo.

#### Follow-up attendance

There was no significant difference between the naltrexone and the placebo groups in attendance at the follow-up clinic or AA. However, patients who did not attend all follow-up appointments had a significantly higher risk of complete relapse (drinking to previous heavy levels) (P = 0.003) than those who attended follow-up. The number of AA attendances had no significant effect on outcomes.

# Alcohol consumption

In comparison with baseline, mean alcohol consumption fell significantly at 3 months, but mean consumption at 3 months did not differ across treatment groups (Box 4). Mean craving scores

also decreased significantly from baseline to 3 months. Again, there was no significant difference between the two treatment groups.

#### **Depression scores**

At initial assessment, high depression scores were common, 41/107 (38.3%) of patients having a Beck Depression Inventory (BDI) score greater than 20; 35.7% of patients taking naltrexone (mean score, 17.4; 95% CI, 14.4–20.4) and 41.2% of patients taking placebo (mean score, 18.4; 95% CI, 15.6–21.2) presented with high BDI scores (Box 4). At 3 months, 22% of patients taking naltrexone had high BDI scores (mean, 10.0; 95% CI, 6.3-13.7) compared with 3% of patients taking placebo (mean, 5.9; 95% CI, 3.8–8.0; P = 0.023). This result should be interpreted with caution, however, as patients with high BDI scores were nearly nine times more likely to drop out of treatment, in comparison with those with BDI scores within the normal range (OR, 8.7; 95% CI, 6.9–34.4; P = 0.003). This dropout rate did not differ across treatment groups.

### Withdrawal from treatment

One patient taking naltrexone was withdrawn from the trial before having elective surgery, and two patients taking placebo were withdrawn after hospitalisation for drug overdoses. Despite 28 days' abstinence, deterioration in liver function was observed in one of three patients with chronic hepatitis C infection. Alanine aminotransferase (ALT) levels in this patient rose from 132 U/L to 185 U/L within the first week. After the code was broken and naltrexone stopped, the ALT level rose further to 412 U/L at the end of the second week and then gradually normalised over subsequent months. Liver function testresults improved in two other patients with chronic hepatitis C who remained in the trial.

Abnormal  $\gamma$ -glutamyltransferase (GGT) levels (> 65 U/L) were observed in 30% of patients on entry into the trial: 21/56 patients (37.5%) taking naltrexone and 11/51 (21.5%) taking placebo. At 3 months only 9/58 (15.5%) of the total patient group assessed had abnormal GGT levels: 5/28 (17.9%) of those taking naltrexone and 4/30 (13.3%) of those taking placebo (Box 4).

#### 4: Patient outcomes at 3 months — naltrexone and placebo groups

	Baseline		3 Months	
	Naltrexone	Placebo	Naltrexone	Placebo
Mean alcohol intake (g/week) (95% CI)	1200.3 (1075.0–1365.7)	1152.2 (1026.9–1277.5)	162.5* (79.7–245.3)	228.1* (130.7–325.5)
Mean OCDS craving score (95% CI)	22.1 (20.1–24.1)	24.0 (22.0–26.0)	9.2* (6.6–11.8)	9.1* (6.4–11.8)
Beck Depression Inventory (BDI)				
Proportion with BDI scores > 20	20/56 (35.7%)	21/51 (41.2%)	7/32 <sup>†</sup> (22%)	1/32 (3%)
Mean BDI score (95% CI)	17.4 (14.4–20.4)	18.4 (15.6–21.2)	10.0* (6.3–13.7)	5.9* (3.8-8.0)
$\gamma$ -Glutamyltransferase U/L (GGT)				
Proportion with GGT level > 65 U/L	21/56 (37.5%)	11/51 (21.5%)	5/28 (17.9%)	4/30 (13.3%)
Mean GGT level (95% CI)	49.2 (39.4-61.4)	40.1 (32.1–50.0)	26.4* (17.8–35.2)	28.5* (21.8–37.2)
Mean aspartate aminotransferase level (U/L)	29.2 (25.9-32.8)	26.5 (23.0-30.4)	23.9* (20.5–27.9)	22.3* (19.8–25.1)
Mean alanine aminotransferase level (U/L)	34.2 (29.2-40.0)	29.5 (23.2–37.5)	24.1* (18.9–30.8)	22.6* (19.2–26.7)
Mean cell volume (MCV) (fL)				
Proportion with MCV > 100 fL	12/52 (23.1%)	9/50 (18.0%)	3/27 (11.1%)	2/30 (6.7%)
Mean MCV (95% CI)	95.2 (93.4–97.0)	95.8 (94.3–97.3)	92.2 (89.3–95.1)	89.7 (83.5–95.8)

<sup>\*</sup> Statistically significant difference from baseline. † Statistically significant difference between naltrexone and placebo. OCDS = Obsessive Compulsive Drinking Scale.

#### Side effects

The most common side effects reported by all patients included fatigue, sleep disturbances, low energy, nausea, and increased thirst. Most side effects were mild and transient. Only one statistically significant difference between naltrexone and placebo in incidence of side effects was noted. Unexpectedly, headaches were more common in those taking placebo; 16/50 patients (32.0%) taking placebo complained of headache, compared with 8/55 patients (14.5%) taking naltrexone (P = 0.03). Three patients taking naltrexone dropped out because of side effects; two complained of nausea and one of diarrhoea.

#### Counselling and supportive therapy

Formal counselling and supportive behavioural therapy from a psychologist or psychiatrist was offered to all patients; 33 patients (30.8%) requested this. Engagement in counselling was associated with better retention rates, the respective drop-out rates being 3/33 (9.1%) and 21/74 (28.4%) among those who did and did not engage in counselling (P = 0.046). However, this result was of borderline statistical significance. There was no significant difference in relapse rate between those who did and did not receive counselling (19/33 patients [57.5%] and 27/74 patients [36.5%], respectively).

# DISCUSSION

Survival analysis showed that naltrexone 50 mg/day for 3 months was significantly more effective than placebo in preventing relapse in a mixed-sex group of alcohol-dependent patients. The beneficial effect of naltrexone was observed in the context of a standard medical outpatient clinic and was most marked during the first six weeks, suggesting a rapid onset of effect. These findings are similar to those of other double-blind, placebo-controlled studies where survival curves for time to first relapse with naltrexone or placebo showed the most marked divergence during the first 40 days of treatment. 3,5,7-9

Our study follows a "real-life" treatment approach to alcohol dependence by providing pharmacotherapy and making available optional psychosocial supportive therapy in a conventional outpatient clinical setting. Counselling was taken up by only a third of our patients, and the proportion of patients who participated in counselling did not differ between the naltrexone and placebo groups. Patients who accepted counselling had higher retention rates, but relapse rates in those who did and those who did not participate in counselling showed no significant difference.

We suggest caution in generalising these results. The trial involved a relatively small number of patients. The sample size of 107 patients was less than required by the power calculation (118 patients). This was because recruitment became difficult after Pharmaceutical Benefits Scheme listing of acamprosate (Campral; Alphapharm) and naltrexone (Revia; Orphan), which meant that they were available to the public without participation in clinical trials. Additionally, preliminary analysis showed statistically significant results consistent with those reported in previous studies.3,5 It is important to note, however, that some of the non-significant results reported here may be due to lack of statistical power.

Our patients were advised to strive for abstinence and all received repeated and regular medical advice and support at each follow-up session. Advice was standardised and provided by the same physician to all the patients throughout our trial. Moreover, the advice to abstain from alcohol was reinforced with objective evidence of improvement (eg, in y-glutamyltransferase levels and mean cell volume) for those who abstained. This could account for the significant reduction in the average alcohol intake by 84% in both the naltrexone- and placebo-treated groups. Kristenson similarly found that counselling and repeated feedback of results of measurement of γ-glutamyltransferase level led to improved outcomes in mid-

**MJA** Vol 176 3 June 2002 533

dle-aged heavy drinkers, including those with alcohol dependence.<sup>16</sup>

Naltrexone blocks some of the rewarding effects of alcohol, but it does not significantly prevent subjects from drinking any alcohol. The urge to drink more is controlled, so that studies have shown that patients taking naltrexone drink less and have increased intervals between relapses into heavy drinking.<sup>3,4</sup> Our results are consistent with these findings.

Apart from the higher incidence of headaches in patients in the placebo group, we found no significant difference between naltrexone and placebo in reported side effects, which were generally mild. Naltrexone is reported to have the potential to cause liver damage when given in excessive doses. <sup>17</sup> High doses of naltrexone were not prescribed in our trial. However, as one of three patients with chronic hepatitis C infection experienced liver function deterioration, we urge caution when administering naltrexone to such patients.

Despite the patients' high depression scores on entry into the trial, and depression being listed as one of the side effects of naltrexone, depression scores improved significantly during treatment in both the naltrexone and placebo groups. However, a significantly greater proportion of patients in the naltrexone group had Beck Depression Inventory scores exceeding 20 at 3 months. Hence, ongoing monitoring of depression in alcoholdependent patients is advisable.

In conclusion, our results were obtained within the context of a medical outpatient clinic where counselling was available but taken up by only a third of patients. Unlike previous studies, we have shown that naltrexone, with adjunctive medical advice, is effective in the treatment of alcohol dependence irrespective of whether it is accompanied by formal psychosocial interventions.

### **ACKNOWLEDGEMENTS**

We acknowledge financial support from Northern Sydney Health, Orphan Australia, Du Pont Pharma and the Kim and Kris Morris Trust Fund for Drug & Alcohol Services. We are grateful to Professor John B Saunders and Professor Charles O'Brien for their helpful comments. We are indebted to Professor Don McNeil and

Ms Shan Shan Shanley Chong, Macquarie University, Department of Statistics, for statistical analysis of data. We wish to thank Dr Harding Burns for advice and encouragement.

### **COMPETING INTERESTS**

None declared.

# **REFERENCES**

- Hynes MD, Lochner MA, Bemis K, et al. Chronic ethanol alters the receptor binding characteristics of the delta opioid receptor ligand, D-ala-2-D-Leu 5-Enkephalin in mouse brain. *Life Sci* 1983; 33: 2331-2337.
- Hyytia P, Sinclair JD. Responding for oral ethanol after naloxone treatment by alcohol preferring AA rats. Alcohol Clin Exp Res 1993; 17: 631-636.
- Volpicelli JR, Alterman Al, Hayashida M, et al. Naltrexone in the treatment of alcohol dependence. Arch Gen Psychiatry 1992; 49: 876-880.
- Volpicelli JR, Rhines KC, Rhines JS, et al. Naltrexone and alcohol dependence: role of subject compliance. Arch Gen Psychiatry 1997; 54: 737-742.
- O'Malley SS, Jaffe AJ, Chang G, et al. Naltrexone and coping skills therapy for alcohol dependence. Arch Gen Psychiatry 1992; 49: 881-887.
- O'Malley SS, Croop RS, Wroblewski JM, et al. Naltrexone in the treatment of alcohol dependence: a combined analysis of two trials. *Psychiatr Ann* 1995: 25: 681.
- Anton RF, Moak DH, Waid LR, et al. Naltrexone and cognitive behavioural therapy for the treatment of outpatient alcoholics: results of a placebo controlled trial. Am J Psychiatry 1999; 156: 1758-1764
- Chick J, Anton R, Checinski K, et al. A multicentre randomised, double blind, placebo controlled trial of naltrexone in the treatment of alcohol dependence or abuse. Alcohol Alcohol 2000; 35: 587-593.
- Morris PLP, Hopwood M, Whelan G, et al. Naltrexone for alcohol dependence: a randomised controlled trial. Addiction 2001, 96: 1565-1573.
- Saunders JB, Aasland OG, Babor T, et al. Development of the Alcohol Use Disorders Identification Test (AUDIT): WHO collaborative project on early detection of persons with harmful alcohol consumption – II. Addiction 1993; 88: 791-803.
- Mayfield D, Mcleod G, Hall P. The CAGE questionnaire: validation of a new alcoholism screening instrument. Am J Psychiatry 1974; 131: 1121-1123
- Stockwell T, Hodgson R, Edwards G, et al. The development of a questionnaire to measure severity of alcohol dependence. Br J Addiction 1979; 77: 79-87.
- Anton RF. Obsessive-compulsive aspects of craving: development of the Obsessive Compulsive Drinking Scale. Addiction 2000; 95 (Suppl 2): S211-S217.
- Beck AT, Ward CH, Mendelson M, et al. An inventory for measuring depression. Arch Gen Psychiatry 1961; 4: 561-571.
- National Health and Medical Research Council. Is there a safe level of daily consumption of alcohol for men and women? Canberra: NHMRC, 1992.
- Kristenson H. Methods of intervention to modify drinking patterns in heavy drinkers. In: Galanter M, editor. Recent developments in alcoholism, Vol 5. New York: Plenum Publishing, 1987: 403-423.
- Product Information on Revia (Nattrexone hydrochloride; Orphan) approved by the Therapeutic Goods Administration, 6 January 1999.

(Received 25 Jun 2001, accepted 28 Feb 2002)